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Abstract: **OBJECTIVES:** To optimally select chronic pain patients for different treatments, as it is of interest to identify patient characteristics that might moderate treatment effect. Our aim was to evaluate the impact of possible moderators on the effect of acupuncture treatment using a large data set. **METHODS:** We used data from an individual patient data meta-analysis of high-quality randomized trials of acupuncture for chronic headache and migraine, osteoarthritis, and back, neck, and shoulder pain. Using meta-analytic trial-level and patient-level regression analyses, we explored the impact of 5 documented patient characteristics (patients' age at baseline, sex, pain duration, baseline pain severity and baseline psychological distress) on the effect of acupuncture. **RESULTS:** A total of 39 trials met the inclusion criteria: 25 use sham-acupuncture controls (n = 7097) and 25 non-acupuncture controls (n = 16,041). Of the 5 patient characteristics analyzed, only baseline pain severity was found to potentially moderate the treatment effect of acupuncture, with patients reporting more severe pain at baseline experiencing more benefit from acupuncture compared to either sham-control or non-acupuncture control. Baseline psychological distress showed small treatment moderating effects, and results for sex were inconsistent. There was no strong evidence that age or duration of pain influenced the response to acupuncture. **DISCUSSION:** Of 5 patient characteristics tested, we found only baseline severity of pain to potentially moderate the effect of acupuncture treatment. For clinical practice, the evidence from this analysis does not justify stratifying chronic pain patients into subgroups that should or should not receive acupuncture on the basis of these 5 characteristics. Future acupuncture trials should assess other potentially important effect moderators.

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The Effect of Patient Characteristics on Acupuncture Treatment Outcomes

An Individual Patient Data Meta-Analysis of 20,827 Chronic Pain Patients in Randomized Controlled Trials

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Objectives: To optimally select chronic pain patients for different treatments, as it is of interest to identify patient characteristics that might moderate treatment effect. Our aim was to evaluate the

impact of possible moderators on the effect of acupuncture treatment using a large data set.

Methods: We used data from an individual patient data meta-analysis of high-quality randomized trials of acupuncture for chronic headache and migraine, osteoarthritis, and back, neck, and shoulder pain. Using meta-analytic trial-level and patient-level regression analyses, we explored the impact of 5 documented patient characteristics (patients' age at baseline, sex, pain duration, baseline pain severity and baseline psychological distress) on the effect of acupuncture.

Results: A total of 39 trials met the inclusion criteria: 25 use sham-acupuncture controls (n = 7097) and 25 non-acupuncture controls (n = 16,041). Of the 5 patient characteristics analyzed, only baseline pain severity was found to potentially moderate the treatment effect of acupuncture, with patients reporting more severe pain at baseline experiencing more benefit from acupuncture compared to either sham-control or non-acupuncture control. Baseline psychological distress showed small treatment moderating effects, and results for sex were inconsistent. There was no strong evidence that age or duration of pain influenced the response to acupuncture.

Discussion: Of 5 patient characteristics tested, we found only baseline severity of pain to potentially moderate the effect of acupuncture treatment. For clinical practice, the evidence from this analysis does not justify stratifying chronic pain patients into subgroups that should or should not receive acupuncture on the basis of these 5 characteristics. Future acupuncture trials should assess other potentially important effect moderators.

Key Words: effect moderators, acupuncture, chronic pain, meta-analysis

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Acupuncture is commonly used to manage patients with chronic pain, and recent individual patient data meta-analyses including nearly 18,000 patients¹ demonstrated that it to be more effective than both sham-acupuncture and non-acupuncture control. However, acupuncture has only small specific effects¹ and like all available treatments, it does not work for every chronic pain patient. To date, it is not well known whether and which baseline patient characteristics moderate the treatment effect of acupuncture. Such knowledge could be helpful for providing more stratified care by identifying the patients for whom acupuncture is likely to have the greatest effect. Knowledge about treatment-effect moderators can inform the development of clinical prediction rules and models of stratified care

that target treatment to patient subgroups based on their likely response to specific treatment,² “fast tracking” patients to appropriate treatment and increasing healthcare efficiency.³

Indeed, the development of Comparative Effectiveness Research⁴ highlights the need to identify possible characteristics for stratified care. However, trials are typically designed to have sufficient power to test a primary hypothesis and therefore are underpowered for moderator analyses.^{5,6} To detect characteristics that modify the effect of treatment on the primary outcome, the sample size needs to be at least 4 times larger than that for the primary hypothesis.⁷ Our large database,¹ with individual patient data from nearly 40 randomized trials, could overcome this problem and allow us to explore potential acupuncture treatment effect moderators. The trials included in this dataset are high quality trials from different countries; the Australia and China, UK, Germany, Sweden, Spain, and United States. Overall the dataset has good external validity, because it includes trials involving different acupuncture providers (acupuncturists, physiotherapists, and medical doctors), different control groups (sham-acupuncture, usual care, guideline-based care, and no treatment) and different acupuncture treatment protocols (standardized, semi-standardized, and fully individualized). Elsewhere, we have examined characteristics of acupuncture that moderate treatment effects.⁸ Here, we evaluate patient and pain characteristics as well as psychological distress as potential moderating factors for acupuncture treatment.

MATERIALS AND METHODS

Included Trials

Trials included in the dataset and used for these analyses were identified through a systematic literature review that has been previously described.^{1,9} The analysis included trials of acupuncture for chronic pain published prior to December 31, 2015 wherein allocation concealment was determined unambiguously to be adequate. Eligible pain types were nonspecific back or neck pain of at least 4 weeks duration, shoulder pain, chronic headache, or osteoarthritis. This search resulted in the identification of potentially 44 randomized trials.

Data Acquisition

Individual patient data were obtained from only 39 trials. Data on the trial-level characteristics of the acupuncture intervention were obtained directly from trialists. In total, 26 trials had a sham-acupuncture control group, and 25 trials had a non-acupuncture control group. One trial with both sham-acupuncture and no acupuncture control arms was excluded from the sham-acupuncture analysis because of a high risk of bias due to unblinding.¹⁰

Outcome

The primary outcome used for this analysis was the primary outcome defined by the study authors. For the 39 trials, 22 used a pain measure as primary outcome, the other trials used measures on function or an index measure that combines both. However, if the primary outcome as defined by the study authors was categorical, we used a continuous measure of pain taken at the same time point as the original outcome. To make the various outcome measurements comparable between different trials, the primary endpoint

outcome for each trial was standardized by dividing by pooled SD.

Potential Treatment Moderators

The following 5 baseline patient characteristics were consistently available in the dataset and were explored as potential acupuncture treatment moderators: age at baseline, sex, pain duration, pain severity, and psychological distress. All 39 trials collected data on baseline pain, with 3 trials reporting none of the other patient characteristics. Twenty trials had data on all 5 patient characteristics. Information on pain duration was provided by the patient and collected at the start of the trials. Trials that only provided information on pain duration in categories (ie, more or less than 5 y) were not included in these analyses. Baseline pain severity was measured using the same methods as the outcome variable. Baseline pain scores were standardized by dividing by the pooled SD of the measure among the controls, separately for each trial. The measure used to capture baseline psychological distress varied by trial and included the mental component from the 12 and 36-item Short Form Health Survey (SF-12 & SF-36) and the Hospital Anxiety and Depression Scale (HADS).¹¹ One trial that measured baseline depression on a 3-point scale (inconspicuous, borderline, and conspicuous depression)¹² was excluded since all other measures were on a continuous scale. In order to combine the different measures, scores were standardized in the same way as the outcome variable, by dividing by pooled SD.

Statistical Methods

We used 2 different statistical approaches to determine whether our findings were sensitive to the method of analysis. In the trial-level meta-analytic approach, we created a linear regression for each trial as for the main analysis of effect size, but also included the patient characteristic and an interaction term between the characteristic and treatment allocation. The coefficient and standard error for the interaction term represents the change in the outcome score in SDs associated with the patient characteristic in the acupuncture treatment group. The coefficient and standard error were then entered into a meta-analysis, using the Stata command *metan*. For example, this trial-level analysis addresses questions about effect moderation such as: “Do patients who are older have a better or worse response to acupuncture compared to control treatment than younger patients?” Analyses were conducted separately for sham and non-acupuncture controls. A sensitivity analysis was conducted excluding a set of 3 outlying trials,^{13–15} as described in the main publication.

In the second approach, using the patient-level, instead of testing for effect moderation in each trial and combining the results into a meta-analysis, we combined the 39 trials and ran a single regression model for each control arm comparison (non-acupuncture and sham-acupuncture controls). The regression model included treatment arm, patient characteristic, the interaction between treatment and patient characteristic, and trial as a fixed effect covariate. A sensitivity analysis was performed using the same model adjusted for pain type (headache, osteoarthritis, low back pain and neck pain, or shoulder pain), rather than by individual trial.

To model the effects of baseline pain on acupuncture treatment effect, we created separate models for acupuncture and control treatment groups, predicting change in pain score in terms of baseline pain. Restricted cubic splines with

knots at the tertiles were used to allow for non-linearity. All analyses were conducted using Stata 13 (Stata Corp., College Station, TX).

RESULTS

Depending on the analyses, between 11 trials ($n=3828$ patients) and 25 trials ($n=14,222$) were included. The effects of the 5 baseline patient characteristics on acupuncture treatment effect from the trial-level meta-analysis are shown in Table 1. In trials with a non-acupuncture control, pain intensity, sex, and psychological distress were found to significantly moderate the treatment effect of acupuncture, but there were no significant effects of age or duration of pain. The estimates reported in the table are the standardized difference in the effect of acupuncture compared with controls for each characteristic; a positive β indicates a larger effect of acupuncture compared with controls for patients with the given characteristic versus the referent level of the characteristic. For instance, the β of 0.034 for baseline psychological distress means that a patient with psychological distress 1 SD higher than the mean will experience an improvement in pain from acupuncture of 0.034 SDs more than average. Because the average effect size for acupuncture compared to a non-acupuncture control is approximately 0.50 SDs, this means that the moderating effects of psychological distress and sex are relatively small. The acupuncture moderating effect of baseline pain is somewhat larger: the more severe the pain, the relatively greater the reduction in pain for those patients receiving acupuncture in comparison to control treatments. For example, a patient with baseline pain 2 SD more severe than the mean, experienced about 1.5 times ($0.50 \text{ effect size} + (2 \text{ SD} \times \beta 0.151) = 0.802 = \text{approximately } 1.5 \text{ fold effect}$) the benefit of acupuncture compared to a patient with a baseline pain score at the mean (Fig. 1).

When comparing acupuncture to sham-acupuncture, baseline pain intensity and sex remained statistically significant moderators of the treatment effect of acupuncture. By contrast psychological distress was not a treatment effect moderator when the comparison group was sham-acupuncture. It is interesting to note that, the acupuncture moderating effect of sex seems reversed, with men receiving greater reductions in pain than women, showing that the treatment moderating effect of sex is not consistent throughout the analyses.

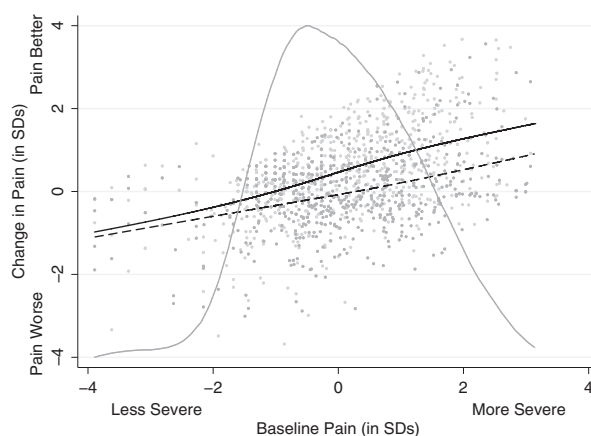


FIGURE 1. Change in pain from baseline in non-acupuncture controlled trials. Solid line: acupuncture. Dashed line: non-acupuncture control. Solid gray line represents distribution of baseline pain scores. Each light grey dot in the scatter plot represents 10 acupuncture patients, and each dark grey dot represents 10 non-acupuncture control patients.

Moreover, age and duration of pain were not statistically significant effect moderators.

In the patient-level regression analysis (Table 2), these results were similar to the meta-analytic model for trials with non-acupuncture control groups. However, among these trials, there was some evidence that the difference between acupuncture and non-acupuncture treatment was larger for older patients, although this did not reach conventional levels of statistical significance (β , 0.018 per 10 y of age; 95% CI: $-0.001, 0.037$; $P=0.066$).

In sham-controlled trials, the β values for interaction terms and P -values were similar for both models for most characteristics. While significant in both models, the β value for the interaction between baseline pain and treatment group was smaller in the patient-level regression model (β 0.033 per 1 SD vs. β , 0.073 (Table 1) per 1 SD). The β -value for this interaction was smaller and non-significant after excluding outlying trials (β , 0.015 per 1 SD; $P=0.2$). The association between baseline pain and pain change

TABLE 1. Trial-Level Fixed-Effects Meta-Analysis

	Fixed Effects: Coefficients for Interaction Terms							
	Acupuncture vs. Non-acupuncture				Acupuncture vs. Sham			
	No. Trials Included	β	95% CI	P	No. Trials Included	β	95% CI	P
Baseline pain intensity (per 1 SD)	25	0.151	0.126, 0.177	<0.0001	25	0.073	0.030, 0.117	0.001
Excluding outlying trials					22	0.073	0.027, 0.119	0.002
Age (per 10 y)	25	-0.012	-0.033, 0.008	0.2	22	0.030	-0.010, 0.069	0.14
Excluding outlying trials					19	0.022	-0.019, 0.064	0.3
Male	25	-0.079	-0.134, -0.024	0.005	22	0.151	0.052, 0.250	0.003
Excluding outlying trials					19	0.152	0.049, 0.255	0.004
Duration (per 5 y)	18	-0.002	-0.017, 0.014	0.8	16	0.005	-0.027, 0.037	0.8
Excluding outlying trials					13	0.002	-0.031, 0.035	0.9
Baseline psychological distress (per 1 SD)	20	0.034	0.007, 0.061	0.013	13	-0.022	-0.077, 0.033	0.4
Excluding outlying trials					11	-0.018	-0.074, 0.039	0.5

The β can be interpreted as the difference in the effect of acupuncture in SDs. For instance, the β of -0.079 for male sex in trials with non-acupuncture control means that, on average, the difference between acupuncture and non-acupuncture was 0.079 SDs lower for men.

TABLE 2. Patient-Level Regression Analysis for Effect Moderators, Adjusting for Trial

	Regression Coefficients for Interaction Terms							
	Acupuncture vs. Non-acupuncture				Acupuncture vs. Sham			
	No. Trials (Patients) Included	β	95% CI	<i>P</i>	No. Trials (Patients) Included	β	95% CI	<i>P</i>
Baseline pain intensity (per 1 SD)	25 (14,222)	0.139	0.118, 0.159	<0.0001	25 (6597)	0.033	0.009, 0.057	0.006
Excluding outlying trials					22 (5985)	0.015	−0.010, 0.039	0.2
Age (per 10 y)	25 (14,218)	0.018	−0.001, 0.037	0.066	22 (6392)	0.024	−0.006, 0.053	0.11
Excluding outlying trials					19 (5780)	0.013	−0.017, 0.042	0.4
Male	25 (14,222)	−0.057	−0.114, −0.001	0.047	22 (6398)	0.137	0.039, 0.234	0.006
Excluding outlying trials					19 (5786)	0.176	0.076, 0.276	0.001
Duration (per 5 y)	18 (12,386)	−0.005	−0.020, 0.010	0.5	16 (4572)	−0.026	−0.055, 0.003	0.081
Excluding outlying trials					13 (3960)	0.001	−0.029, 0.031	0.9
Baseline psychological distress (per 1 SD)	20 (12,531)	0.030	0.004, 0.056	0.025	13 (4031)	0.054	0.005, 0.103	0.031
Excluding outlying trials					11 (3828)	0.012	−0.039, 0.062	0.7

The β can be interpreted as the difference in the effect of acupuncture in SDs. For instance, the β of −0.057 for male sex in trials with non-acupuncture control means that, on average, the difference between acupuncture and non-acupuncture was 0.057 SDs lower for men.

scores for both acupuncture and sham-acupuncture groups are shown in Figures 1 and 2. There was evidence of an interaction in the patient-level regression models for 2 characteristics that were not seen in the meta-analytic models. First, there was some evidence that pain duration moderated acupuncture treatment effect in the regression model (β , −0.026; 95% CI: −0.055, 0.003; $P=0.081$), although this did not meet conventional levels of statistical significance and was sensitive to the exclusion of outlying trials ($P=0.9$). Second, baseline psychological distress significantly moderated the effect of acupuncture (β , 0.054; 95% CI: 0.005, 0.103; $P=0.031$). This association is, however, small and was also highly sensitive to the exclusion of outlying trials ($P=0.7$). The patient-level models that adjusted for pain type rather than trial produced results consistent with the other 2 analyses (data not shown).

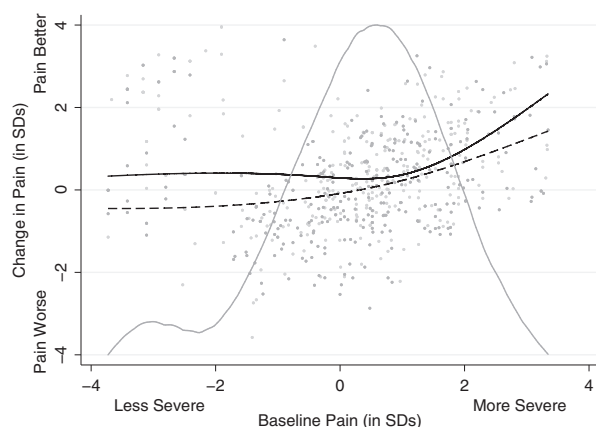


FIGURE 2. Change in pain from baseline in sham-controlled trials. Solid line: acupuncture. Dashed line: sham-acupuncture. Solid gray line represents distribution of baseline pain scores. Each light gray dot in the scatter plot represents 10 acupuncture patients, and each dark gray dot represents 10 sham-acupuncture patients.

We found that female patients who received acupuncture did better than males in trials with non-acupuncture control groups, whereas male acupuncture patients did better in trials with sham-acupuncture controls. In an attempt to explain this finding, we performed several exploratory analyses. The first sensitivity analysis included only trials that used both non-acupuncture and sham-acupuncture control arms. A total of 11 trials were included in this analysis: 3792 patients in the analysis of non-acupuncture control trials, and 4246 patients in the analysis of sham-controlled trials. In the analysis of sham controlled trials, both the meta-analysis (β , 0.159; 95% CI: 0.039, 0.278; $P=0.009$) and the patient-level regression (β , 0.194; 95% CI: 0.078, 0.311; $P=0.001$) found a large benefit of acupuncture in male patients compared with females. In non-acupuncture control trials, the meta-analysis (β , 0.000; 95% CI, −0.125, 0.126; $P>0.9$) and the patient-level regression (β , 0.068; 95% CI: −0.059, 0.195; $P=0.3$) found no evidence of effect moderation based on sex. However, effect sizes for both patient-level regression analyses indicated a benefit of acupuncture for males, and the effect size in sham controlled trials was consistent with the main analysis, indicating that the differential effect of sex seen in the main analysis is likely driven by the 4 large Acupuncture in Routine Care (ARC) trials from Germany. These trials with a total of 10,106 patients had only a non-acupuncture control group and found that women had an improved response to acupuncture compared to men.¹⁶

To investigate this further, we then performed a sensitivity analysis excluding those 10,106 patients. In this sensitivity analysis, the β for the interaction between sex and acupuncture group from the meta-analysis for non-acupuncture control was again nonsignificant and close to the null (β , −0.003; 95% CI: −0.106, 0.101; $P>0.9$). In the patient-level regression model, the β for the 5202 patients remaining in the analysis was in the same direction as the effect seen in sham trials, but the effect size was small compared to the effect seen in the sham-analysis and was not statistically significant (β , 0.036; 95% CI: −0.069, 0.140; $P=0.5$).

In previous papers^{8,9} we found evidence that an increased number of acupuncture needles or acupuncture treatment sessions could improve the benefit of acupuncture. On the basis of our analysis which found an increased benefit of acupuncture for those with higher baseline pain, we then investigated whether patients with the highest levels of baseline pain received higher doses of acupuncture, that is, whether the association between baseline pain and outcome was confounded by patients reporting high pain levels being given more acupuncture. We created linear regression models with baseline pain severity as a covariate for 2 outcomes: average number of acupuncture needles used per session and total number of acupuncture sessions. Models were created separately for each trial and included only patients in the acupuncture group. As only patients in the acupuncture group were eligible, both non-acupuncture and sham-acupuncture controlled trials were included. For each outcome, the coefficient and standard error for baseline pain were saved out from each trial and entered into a meta-analysis.

We found a statistically but not clinically significant association between baseline pain and number of acupuncture sessions, with an overall estimate of an additional 0.10 sessions associated with a 1 SD increase in baseline pain (95% CI: 0.04, 0.15; $P = 0.001$). There was no evidence of an association between baseline pain and average number of needles used per session (0.014 needles per 1 SD increase in baseline pain, 95% CI: -0.16, 0.19; $P = 0.9$).

DISCUSSION

Patients with chronic pain participating in acupuncture trials respond differently to the acupuncture treatment. We evaluated 5 possible acupuncture treatment effect moderators. By using individual patient data meta-analyses on a large international data set of randomized trials we were able to conduct well-powered analyses. Furthermore, we employed several secondary analyses to check our results for robustness.

Of the 5 patient variables available in the dataset, only baseline pain severity was found to have a consistent moderating effect on acupuncture outcomes, patients reporting more severe pain at baseline experiencing more benefit from acupuncture than comparison treatments. The size of these effects varied with the control groups used: larger effects were observed when patients were not blinded to the intervention. Age or duration of pain do not seem to moderate the response to acupuncture. In several analyses, baseline psychological distress showed small acupuncture treatment moderating effects. The most inconsistent results were found for sex showing that men benefit more from acupuncture in sham-controlled trials and women more in non-acupuncture group controlled trials. Sensitivity analyses showed that the moderating effect of female sex was mainly driven by four large open label trials from one country and not consistent for other trials.

We found that patients with more severe pain at baseline improved more from acupuncture treatment than those with lower levels of pain, compared to other treatments. Previous studies have reported baseline pain to predict the outcome independent of the intervention and not, as in our current analysis, as a treatment effect moderator.^{16,18,19} Such trials explained effects in terms of regression to the mean, or to floor effects at baseline, which diminish the possibility of improvements in pain levels as a result of treatment. Overall the

evidence for mediating factors for treatments in musculoskeletal pain populations is still limited.²⁰

In these meta-analyses, baseline psychological distress was a statistically significant treatment effect moderator in several analyses. Patients with greater psychological distress at baseline experienced greater benefit from acupuncture. This is the first time this effect has been identified for acupuncture treatment. However, the effect is small and of questionable clinical relevance. As a result, exclusion of patients with low psychological distress from acupuncture treatment or acupuncture trials cannot be justified.

That age and pain duration did not moderate the treatment outcome is in agreement with previous acupuncture studies.¹⁶ The influence of sex on pain reduction was inconsistent and seemed to depend on the types of trials included into the analyses. When excluding the large German trials with a non-acupuncture control it seems that men benefit more, however, this was mainly based on sham-controlled data. Because of the inconsistency of the data and the overall small size of the treatment moderating effect the current evidence does not justify using sex as stratification factor in clinical practice.

Advantages and Limitations

Our results are based on a very large dataset consisting of high quality randomized trials from different countries, providers and acupuncture protocols. In contrast to typical meta-analyses, individual patient data allows for sensitivity analyses with adjustment for the trial and type of chronic pain to examine the robustness of our conclusions. To identify possible characteristics associated with patients that could lead to stratified care, we wanted to examine as many possible characteristics. The main limitation was data availability. We could only examine the 5 baseline variables (age, sex, pain duration, pain severity, and psychological distress) that were available in a standardized format for most of the trials. Additional patient characteristics that might plausibly influence acupuncture effect, for instance, presence of neuropathic pain, were not measured in the primary trials. Other patient characteristics, such as psychological distress, were measured using inconsistent endpoints, requiring that they had to be combined in a suboptimal manner. For instance, we examined the properties of psychological distress overall, rather than, examining anxiety and depression separately. In an observational cohort study of 1591 low back pain patients consulting in primary care a considerable overlap in psychological measures commonly used in low back pain research was confirmed.¹⁷ Yet other important patient characteristics, that potentially may be moderators of the effects of acupuncture compared to other treatments such as level of education, pain catastrophizing and self-efficacy could not be examined in the analyses, because these constructs were collected in very different ways in different studies and were not collected at all in many trials. However, results of a pooled analysis using 4 German-based trials, all of which are included in our study, found that level of education predicted the outcome independent of the intervention and was therefore not an acupuncture effect moderator.¹⁶ Because the number of older adults in the data set was limited, the findings that the difference between acupuncture and non-acupuncture treatment might be larger for older adults should be interpreted with caution.

Implications for Research and Practice

Future acupuncture trials should assess other potentially important effect moderators, such as treatment outcome expectation²¹ and pain self-efficacy,²² that were only available for very few trials in our data set. Future trials should also assess objective variables that might either serve directly as acupuncture treatment effect moderators (eg, whether pain is predominately neuropathic or nociceptive) or serve as markers of treatment effect, such as cytokines or genetics. For clinical practice the current evidence provides no justification for stratifying patients in groups that should or should not receive acupuncture.

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